

GOVERNING BODY IN PUBLIC – PART I
FINANCE REPORT MONTH 7 (OCTOBER 2016)

Date of the meeting	1 st December 2016
Author	Lee Bushell, Interim Deputy Chief Finance Officer
Sponsoring Governing Body Member	Margaret Hathaway, Interim Chief Finance Officer
Purpose of Report	To update the committee on the financial position of the CCG for the 7 months to October 2016
Recommendation	The Committee is asked to; <ol style="list-style-type: none"> 1. Note the financial position of NHS Southend CCG for Month 7, and to seek clarification on any points as deemed necessary. 2. Note the current position in respect of the CCG's QIPP schemes. 3. Note the current levels of risks and opportunities against the CCG's annual plan target 4. Note the progress against the CCG's financial recovery plan.
Reason for inclusion in Part II	N/A
Stakeholder Engagement	CCG Executives and budget holders, NHSE Finance colleagues.
Previous GB/Committee	N/A

Monitoring and Assurance Summary

	<ul style="list-style-type: none"> • Quality • Equality and Diversity • Engagement • Outcomes • Governance • Partnership-Working • Leadership 		
	Yes [e.g. ✓]	Any action required?	
		Yes (Detail in report)	No
	✓		✓
	✓		✓
	✓	✓	
	✓		✓
	✓		✓
	✓	✓	
	✓		✓
	✓		✓
	✓		✓

Initials: MH

Finance Report - Month 7 2016/17

Overview

This report contains the key messages regarding the financial position of the CCG as at 31st October 2016.

- **In Month and FY Forecast:** The CCG's financial position reflects a year to date overspend of £2.3m against plan, whilst continuing to forecast achievement of the full-year financial targets agreed with NHS England.
- **2016/17 QIPP:** At present, the overall QIPP delivery forecast stands at £6.4m, leaving a shortfall of £4m compared to the £10.4m target value set within the CCG's base budget.
- **Better Payment Practice Code (BPPC):** Cumulative BPPC achievement to month 7 reflects 97% by both volume and value of invoices being paid within prescribed timeframes, meeting the 95% target requirements.

1. Financial Position as at 31st October 2016

Table 1 below summarises the position for the CCG as at month 7:

TABLE 1

<u>Category</u>	<u>Annual Budget</u>	<u>YTD Budget</u>	<u>YTD Actual</u>	<u>YTD Variance</u>	<u>Forecast Outturn</u>
	<u>£000</u>	<u>£000</u>	<u>£000</u>	<u>£000</u>	<u>£000</u>
Programme Costs	238,596	138,211	140,609	(2,398)	238,600
Running Costs	3,914	2,283	2,230	53	3,910
Total Costs	242,510	140,494	142,839	(2,345)	242,510
Allocation	238,525	139,440	139,440	0	238,525
Surplus/(Deficit)	(3,985)	(1,054)	(3,399)	(2,345)	(3,985)

1.2 YEAR TO DATE POSITION

1.2.1 Running Costs

The CCG is reporting a £0.1m underspend against its Running Costs' budget.

1.2.2 Programme Costs

The CCG is reporting a £2.4m overspend against its Programme Costs' budget.

1.2.3 Total

The consolidated position of Running and Programme Costs at month 7 is showing an overspend of £2.3m against plan.

1.3 FULL-YEAR FORECAST POSITION

- 1.3.1 The current forecast position remains for the CCG to meet its financial targets for the year. It is worthy of note that this is dependent on the CCG both achieving its challenging QIPP programme for the year, and mitigating any additional in-year financial risks, many of which are becoming apparent as the year progresses.
- 1.3.2 Recent discussions, both internally and with NHS England, have concluded that it is still considered reasonable to continue to forecast full achievement of the CCG's financial plans. As previously noted, however, this is a high risk position and a full budget review highlighted a significant financial gap, which, with mitigations applied, left a residual unidentified pressure of around £3.8m.
- 1.3.3 This position has been discussed with NHS England, both at a local and regional level, and has culminated in the production of a financial recovery plan, which identified proposed solutions to bridge the £3.8m and which was presented to the Committee previously. Ongoing monitoring of the FRP is now essential, as well as managing any new risks as they become apparent.
- 1.3.4 Ongoing review of the CCG's forecast expenditure and QIPP delivery relating to Continuing Healthcare has led to a further deterioration in this particular budget area, and the CCG's underlying deficit is forecast to be between £3 and £5m. Further measures continue to be identified to endeavour to close this funding gap, though their delivery is high risk in nature and are subject to close scrutiny and ongoing discussion with NHS England.
- 1.3.5 The CCG, therefore, continues to consider itself to be in internal financial recovery.
- 1.3.6 Appendix 1 to this report gives a more detailed analysis of the financial position.

2. Variance Analysis

(i) Adverse variances/Overspends.

- Acute Providers, overspent by £0.6m year-to-date. This reflects the latest activity projections, as provided by the CSU, with the biggest overspend being within non-contracted activity for which there was a budget reduction made during the planning round. The SUHFT budget has been adjusted to reflect the likely outturn position, which assumes delivery of £0.5m of savings from the overall £1.6m which was agreed for inclusion within "QIPP pillows" during the contracting round. The £0.5m relates to Out-Patient follow-ups and is already under discussion with the Trust. Furthermore, the £1m deduction included within the FRP for reduced activity has been agreed with the Trust has been adjusted for, both in the year-to-date and forecast positions.
- Continuing healthcare, overspent by £2.5m year-to-date. This overspend is largely due to an under-accrual in the 15/16 accounts, in-year overspends and under-delivery against agreed QIPP targets.

The construct of the CHC overspend is detailed in the table below;

Category	Annual Budget	YTD Budget	YTD Actual	YTD Variance	Forecast Outturn
	£000	£000	£000	£000	£000
CHC Accrual (2015/16)	0	0	1,339	(1,339)	2,295
Adult Continuing Healthcare Packages	22,006	12,837	13,317	(480)	22,533
Child Continuing Healthcare Packages	299	174	115	60	216
IPT Placement	2,281	1,331	1,903	(573)	3,263
CHC Risk share Pool Contribution	570	570	570	0	570
CHC Assessment Teams	1,143	667	903	(236)	1,329
Funded Nursing Care (FNC)	270	158	119	38	259
Sub Total	26,569	15,736	18,265	(2,529)	30,465

- Mental health, overspent by £0.3m year-to-date. This overspend relates to some prior year charges for excess use of in-patient beds in 2015/16, coupled with a shortfall in the CAMHS transformation budget, which has now been recognized.
- Unidentified QIPP, overspent by £2.3m year to date. As reported previously, the budget review undertaken whilst putting together the draft FRP, identified QIPP schemes which are no longer considered deliverable. This gave rise to a number of budget changes, which ultimately led to the establishment of an unidentified QIPP budget for the year. Further revisions have been made this month to reflect the likely QIPP delivery, and this has led to the unidentified budget target rising to £4m, the year-to-date element of which is £2.3m.

(ii) Mitigating Factors.

- Primary care services, underspent by £0.4m year-to-date, and relating to expenditure lower than planned within prescribing.
- Community Health services, underspent by £0.3m year-to-date.
- Reserves and contingency, underspent by £2.8m, representing underspends against the CCG's invest to save reserve, the Primary care transformation reserve and other uncommitted reserve budgets.
- Running cost, underspent by £0.1m year-to-date.

3. Budget/Resource Movements.

3.1 During month 7, budget changes have been made, as detailed below;

- Adjustment to budgets which were included within the CCG's Financial Recovery Plan, where delivery is considered to be green in nature, coupled with further adjustments for the likely delivery of CHC QIPP. All of these adjustments have been taken through the CCG's unidentified QIPP budget line, leaving a residual negative budget of £4m for the year.
- To CCG received additional central resource of £42k this month, which is considered to be fully committed, and relates to reducing waiting lists within CAMHS services.

4. Risks and Opportunities.

4.1 Risks to the financial forecast of the CCG will need to be managed throughout the financial year. Particular risks to the meeting of the CCG's financial plans for this year are as follows;

CHC Growth/Price Increases.

4.2 Any growth in excess of that funded (the budget was uplifted by 8% from 2015/16) will lead to a CCG cost pressure.

Funded Nursing Care Price Increases.

4.3 We have recently been notified of the rates for 2016/17 which will equate to an increase of 40%, backdated to April 2016.

QIPP Schemes.

4.4 The CCG has an overall QIPP target of £10.4m, equating to 4.4% of allocation. This level of QIPP represents a considerable challenge, and achievement of this target will have to be closely monitored throughout the financial year.

Acute Over performance.

4.5 Activity growth this year has been funded at forecast levels and the majority of contracts with Providers have been signed off. Furthermore, the CCG has reached agreement with the three Acute Trusts within the Essex Success Regime for a block contract. For the residual acute contracts though, there remains a risk of over performance, given that the nature of these contracts is almost entirely activity based.

Winter pressures.

4.6 Any increase in activity and/or cost as a result of any extreme winter weather conditions.

Prescribing.

4.7 Any growth in excess of that funded (the budget was uplifted by 7% from 2015/16) will lead to a CCG cost pressure.

PICU & LD Beds.

4.8 Should activity within Hadleigh and Byron Court wards remain in excess of the capacity commissioned from SEPT, there is an associated financial risk.

Prop Co & Community Health Partnerships.

4.9 Movement to market rent charges is likely to increase costs which, whilst due to be underwritten by the Department of Health, could pose a risk.

Specialist Severe & Complex Obesity funding transfers.

4.10 There is a risk that the allocation transfer does not match the actual spend.

4.11 **Any costs incurred in 2016/17 but relating to a prior financial year, for which inadequate provision was made in the final 2015/16 accounts.**

4.12 **Any further costs with no identified funding source.**

4.12.1 There are a number of potential sources of mitigation to offset these risks, as follows;

- (i) Uncommitted reserves.

- (ii) QIPP stretch target.
- (iii) Slippage on agreed developments.
- (iv) Other budget underspends, fortuitous or otherwise.

4.12.2 The CCG's risks and mitigations schedule, as submitted to NHS England for month 7, is attached at Appendix 2 to this report.

5. QIPP Performance

5.1 The CCG's budgeted QIPP target is £10.4m. The CCG had initially identified schemes with total gross savings of £16.1m supported by investments of £4m, thus giving rise to net savings £12.1m.

5.2 Following review of these QIPP schemes, and as part of the development of the CCG's Financial Recovery Plan, forecast delivery now stands at £6.4m a reduction from the £6.6m reported in month 6, and leaving an overall shortfall of £4m.

5.3 The 0.2m net reduction in the year-end forecast position is driven by the following movements:

- Reduction in the continuing healthcare QIPP programme forecast from £2m to £0.45m
- Increase in the acute QIPP programme forecast by £1m, achieved through delivery of the FRP action relating to reductions in acute activity.
- Increase in the primary care QIPP programme forecast by £340k achieved through delivery of the FRP action relating to in-year investments.

5.4 The main driver for the overall forecast shortfall is the non-delivery of plans identified within the CCG's original QIPP programme in 2016/17.

5.5 It is expected that, for some of the schemes not delivering savings in 2016/17, full year savings will be achieved in 2017/18.

5.6 Year to date to month 7, the CCG is reporting actual savings achieved of £2.9m, representing 46% of the £6.4m year-end forecast.

5.7 The table below shows the construct of the £6.4m of forecast schemes, with a RAG rating applied, to reflect the current levels of confidence in their delivery.

TABLE 2

QIPP Programme By Executive Area	Responsible Executive	Blue- SUHFT Block Contract Reduction (£k)	Blue - Budget Reduction (£k)	RED (£k)	Amber (£k)	Green (£k)	Year End Forecast (£)
RAG Key		SUHFT Block Contract Reduction	Decommissioning and Agreed Budget Reductions	High Risk of Delivering savings	Project plans in place but delivery not established	Financial Savings delivery established	
Acute	Robert Shaw	£899,023	£1,546,084	£392,500	£0	£0	£2,837,607
Primary Care	Sadie Parker	£0	£624,428	£0	£0	£0	£624,428
Integration and Community	Jacqui Lansley	£117,639	£527,000	£0	£0	£0	£644,639
Prescribing	Simon William	£0	£50,000	£0	£712,600	£1,062,150	£1,824,750
Continuing health Care	Matt Ranguie	£0	£0	£0	£447,576	£0	£447,576
Corporate	Lee Bushell	£0	£0	£0	£0	£0	£0
Total		£1,016,662	£2,747,512	£392,500	£1,160,176	£1,062,150	£6,379,000
% of Forecast		16%	43%	6%	18%	17%	
2016/17 CCG QIPP Target							£10,400,000
Shortfall							£4,021,000
Forecast Delivery Yield							61%

5.8 The table above highlights the following;

- £3.7m, 59% of the current forecast RAG rated BLUE – this has been achieved through budget reductions, thus savings assured.
- £1.1m, 18% of the current forecast is currently RAG rated AMBER, this is made up of £0.45m relating to the CHC and £0.7m relating to a number prescribing schemes, where schemes have been implemented but the savings delivery pattern has not been firmly established.
- £1.0m, 17% of the current forecast is RAG rated GREEN, this relates to Prescribing schemes which are delivering to, or above, planned savings levels. £0.4m, 6% of the current forecast is RAG rated RED, this relates predominantly to the acute contract schemes. The outpatient reduction (£387k) is in development and is still to be negotiated out of the SUHFT block contract.
- The key areas to focus on to ensure delivery of the current forecast are the CHC programme (£0.45m), the prescribing programme (£1.8m), and the acute outpatient reduction (£0.4m).

5.9 The QIPP ideas to bridge the £3.8m shortfall were identified within the CCG's FRP, and these are detailed below.

FINANCIAL RECOVERY PLAN 2016/17		
AREA	SCHEME	VALUE (£k)
Acute - Planned		
	Service Restrictions	160
	Out of Area Activity	250
	Reduction in Acute Activity	1,000
Prescribing		
		690
CHC		
		690
Investments Stopped		
	£5/head	130
	Care Homes	210
Other		
	Estates Utilisation	280
	Vacancy Controls	160
	ICD 10	100
	Success Regime	100
GRAND TOTAL		3,770

5.10 As highlighted above £1.3m of the recovery plan has now been delivered and is included in the CCG's £6.4m yearend QIPP delivery forecast position.

5.11 The newly created Financial Recovery Plan Group will monitor the QIPP programme implementation to ensure delivery.

5.12 Further detail behind the QIPP programme is shown in Appendix 3.

6. Conclusion

6.1 The CCG is currently reporting a year end position meeting its financial targets, but with significant risk, which will need to be monitored and managed throughout the year, to maintain this position.

7. Recommendations

7.1 Members are asked to note the financial position as at 31st October 2016.

APPENDICES	
Appendix 1	Detailed Income & Expenditure Position
Appendix 2	Risks and Mitigations Schedule
Appendix 3	Detailed QIPP Programme
Appendix 4	Statement of Financial Position
Appendix 5	Cash-flow analysis
Appendix 6	Debtor and Creditor analysis
Appendix 7	BPPC analysis

Appendix 1: Detailed Income & Expenditure Position

Quality, Finance & Performance Committee Summary Table					
Month 7					
	Annual Budget	YTD Budget	YTD Actual	YTD Variance	Forecast Outturn
	£000	£000	£000	£000	£000
Southend University Hospital Foundation Trust	101,229	59,050	59,051	(0)	101,229
Other Contracted Activity	7,951	4,638	4,642	(4)	7,888
Ambulance Services	7,408	4,321	4,333	(12)	7,442
SUHFT Drugs & Devices	3,982	2,323	2,323	0	3,982
Spire	3,820	2,228	2,255	(27)	3,876
Mid Essex Hospital NHS Trust	3,379	1,971	1,971	(0)	3,379
Basildon & Thurrock University NHS Foundation Trust	3,775	2,202	2,204	(2)	3,777
Non Contracted Activity	595	347	728	(381)	1,274
Community based acute services (AQP)	1,480	864	1,000	(137)	1,683
Non NHS Acute Services Other Providers	617	360	441	(81)	724
ASD Assessment Service	149	87	87	(0)	8
Acute Services	134,385	78,391	79,035	(644)	135,262
CHC Accrual (2015/16)	0	0	1,339	(1,339)	2,295
Adult Continuing Healthcare Packages	22,006	12,837	13,317	(480)	22,533
Child Continuing Healthcare Packages	299	174	115	60	216
IPT Placement	2,281	1,331	1,903	(573)	3,263
CHC Risk share Pool Contribution	570	570	570	0	570
CHC Assessment Teams	1,143	667	903	(236)	1,329
Funded Nursing Care (FNC)	270	158	119	38	259
Continuing Healthcare/Individual Packages, FNC and Reablement	26,569	15,736	18,265	(2,529)	30,465
GP Prescribing	27,042	15,774	15,509	265	26,733
Out of Hours & 111	2,121	1,237	1,251	(14)	2,219
Other Drugs Charges	874	510	464	45	799
Medicines Management - Clinical	501	293	249	44	426
Oxygen	358	209	208	1	355
Primary Commissioned Services	233	136	126	10	233
Prescribing Incentive Scheme	100	58	58	0	100
Primary Care	31,230	18,217	17,866	352	30,866
Mental Health Services South Essex P'ship Trust	20,536	12,038	12,181	(142)	20,806
Mental Health Services Voluntary Sector	582	339	366	(26)	621
Mental Health Services Non Contracted Activity	204	119	96	23	167
Child & Adolescent Mental Health Services	1,062	619	796	(177)	1,258
Mental Health Services	22,384	13,116	13,438	(322)	22,852
SEPT	6,341	3,699	3,671	28	6,326
BCF	11,938	6,964	6,731	233	11,538
Non Urgent Patient Transport Services	772	451	394	57	712
Other Community Services	21	12	15	(3)	21
Community Services	19,073	11,126	10,811	315	18,598
1% Non-Recurrent Reserve	2,380	0	0	0	2,380
0.5% Contingency Reserve	1,232	261	0	261	42
Transformation Fund	616	359	0	359	0
GP Dev Prg - reception + clerical training	16	0	0	0	16
SBC Income	(900)	0	0	0	(900)
Eating Disorders Allocation	100	0	0	0	73
Unallocated Reserve	8	5	0	5	0
Investment Reserve	1,521	1,010	0	1,010	501
Cost Pressure Reserve	822	480	0	480	0
PC Transformation Fund	416	243	0	243	0
£5/head	320	187	70	117	120
Community Estates Charges	1,010	589	270	319	784
Hospice Services	18	10	4	6	10
Other Community based care	48	28	34	(6)	63
GPIT	511	298	270	28	463
Commissioning Other	374	218	265	(47)	454
Turnaround/Transformation Support	300	175	104	71	300
Transforming Care LD Project	0	0	42	(42)	42
Integrated Team	185	108	134	(27)	231
Other Programme Services & Contingency	8,977	3,970	1,194	2,776	4,579
Unidentified QIPP	(4,021)	(2,346)	0	(2,346)	(4,021)
Total Running Cost Allocation	3,914	2,283	2,230	53	3,910
Grand Totals					
Resource allocation	238,525	139,440	139,440	0	238,525
Net Surplus / (Deficit)					

Appendix 2: Risks and Mitigations Schedule

Risks & Opportunities

Risks	Potential Risk Value Mth06	Full Risk Value £m	Probability of risk being realised %	Potential Risk Value £m	Proportion of Total %	Commentary
CCGs						
Acute SLAs	0.10	1.32	50.00%	0.66	16.58%	SUHFT RTT invoices and QIPP Pilows asusedm reduction re Out Patients
Community SLAs	0.00			0.00	0.00%	
Mental Health SLAs	0.08	0.06	90.00%	0.05	1.35%	PICU usage in 16/17
Continuing Care SLAs	1.48	1.47	21.60%	0.32	7.94%	CHC & CHC QIPP delivery
QIPP Under-Delivery	1.62	4.02	57.00%	2.29	57.44%	Current gross QIPP delivery risk per PMO
Performance Issues	0.00			0.00	0.00%	
Primary Care	0.00			0.00	0.00%	
Prescribing	0.00			0.00	0.00%	
Running Costs	0.00			0.00	0.00%	
Other Risks	0.54	0.74	90.00%	0.67	16.69%	Potential spend re Carers & ASD service plus CEOV provisional RL reduction

TOTAL RISKS	3.81	7.61		3.99	100.00%	
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Mitigations	Expected Mitigation Value Mth06	Full Mitigation Value £m	Probability of success of mitigating action %	Expected Mitigation Value £m	Proportion of Total %	Commentary
Uncommitted Funds (Exd 1% Headroom)						
Contingency Held	0.00			0.00	0.00%	
Contract Reserves	0.00			0.00	0.00%	
Investments Uncommitted	0.34			0.00	0.00%	
Uncommitted Funds Sub-Total	0.34	0.00		0.00	0.00%	
Actions to Implement						
Further QIPP Extensions	3.48	2.29	70.30%	1.61	40.39%	Further FRP schemes
Non-Recurrent Measures	0.00			0.00	0.00%	
Delay/ Reduce Investment Plans	0.00			0.00	0.00%	
Other Mitigations	0.00	2.40	99.00%	2.38	59.61%	To be determined
Mitigations relying on potential funding	0.00	0.00		0.00	0.00%	Complete in section below - rows 51 - 53
Actions to Implement Sub-Total	3.48	4.69		3.99	100.00%	

TOTAL MITIGATION	3.82	4.69		3.99	100.00%	
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NET RISK / HEADROOM	0.00	(2.92)		(0.00)		
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Appendix 3: Detailed QIPP Programme

Scheme Name	Executive Bucket Area	Forecast BRAG	Yearend Forecast (£)
MSK - CATS	Acute	B	£ 237,148
MSK - Pain Management	Acute	B	£ 13,174
Stroke (ESD)	Acute	B	£ 10,104
Ophthalmology GRR	Acute	B	£ 20,354
Ophthalmology - Shared Care Glaucoma	Acute	B	£ 12,000
ENT - Audiology	Acute	B	£ 5,631
Respiratory-under 65	Acute	B	£ 17,017
Urgent Care Pathway	Acute	B	£ 371,095
ESAC (Ambulatory Care)	Acute	B	£ 50,000
Ambulatory Care (was £100k)	Acute	B	£ 50,000
Community Geriatrician	Integration and Community	B	£ 74,392
End of Life (Hospice at Home)	Integration and Community	B	£ 24,000
Childrens 0-19 pathway	Integration and Community	B	£ 4,941
Childrens Frequent A&E Attendences	Integration and Community	B	£ 7,298
Complex Care incl. Respiratory	Integration and Community	B	£ 7,008
Outpatient (Follow ups) Reduction	Acute	R	£ 387,500
Outpatient (Follow ups) Reduction -Con	Acute	B	£ 112,500
OOH services Closure -(Urgent Care Path	Acute	BC	£ 478,284
Podiatric Surgery Rebasing	Integration and Community	BC	£ 30,000
CVD locally commissioned service	Primary Care	BC	£ 12,012
Time to Learn Programme Review	Primary Care	BC	£ 5,416
Reduce spend on GPIT	Primary Care	BC	£ 225,000
Prescribing Incentives (SW)	Prescribing	BC	£ 50,000
Referral Management (contract)	Primary Care	BC	£ 42,000
ESD Decomissioning - (Stroke)	Acute	BC	£ 67,800
Cardiovascular	Prescribing	G	£ 224,000
Care Homes Review	Prescribing	A	£ 38,000
CNS	Prescribing	G	£ 293,800
Dressings	Prescribing	A	£ 29,600
Endocrine	Prescribing	A	£ 228,700
Nutrition	Prescribing	A	£ 94,400
Ophthalmology	Prescribing	G	£ 44,200
Specials	Prescribing	G	£ 22,000
Repeat Prescribing Project	Prescribing	G	£ 1,150
Gluten Free	Prescribing	G	£ 60,000
Rebates	Prescribing	G	£ 50,000
Wastes and Repeats	Prescribing	G	£ 85,000
Restrictions	Prescribing	G	£ 85,000
Pregabalin	Prescribing	G	£ 50,000
Appliances and procurement with SUHF	Prescribing	G	£ 50,000
Oxygen	Prescribing	G	£ 30,000
Skin	Prescribing	G	£ 67,000
Prescribing Recovery Plan	Prescribing	A	£ 321,900
Individual Package of Care Managemen	Continuing health Care	A	£ 882,000
Discharge to Assess (Uplands)	Continuing health Care	A	£ (884,424)
Additional savings - stretch target	Continuing health Care	A	£ 450,000
Contract underperformance in SEPT cont	Integration and Community	BC	£ 77,000
Patient Transport	Acute	R	£ 5,000
Community Services Budget adjustment	Integration and Community	BC	£ 279,000
Estuary	Integration and Community	BC	£ 98,000
Rethink	Integration and Community	BC	£ 43,000
FRP - Reduction In Acute Activity	Acute	BC	£ 1,000,000
FRP -Budget Adjustment	Primary Care	BC	£ 130,000
FRP plan Care Homes Budget Reduction	Primary Care	BC	£ 210,000
Total Active Schemes			£ 6,379,000

Appendix 4: Statement of Financial Position as at 31st October 2016

Statement of Financial Position as at 31/10/16		
	2016-17	2015-16
	£000	£000
Non-Current Assets		
Property, plant and equipment	25	36
Total Non-Current Assets	25	36
Current Assets		
Trade and Other Receivables	8,209	2,522
Cash and Cash Equivalents	2	67
Total Current Assets	8,211	2,589
Total Assets	8,236	2,625
Current Liabilities		
Trade and Other Payables	(18,493)	(12,282)
Total Current Liabilities	(18,493)	(12,282)
Non-Current Assets plus/less Net Current Assets/Liabilities	(10,257)	(9,657)
Assets less Liabilities	(10,257)	(9,657)
Financed by Taxpayers' Equity		
General Fund	(10,257)	(9,657)
Total Taxpayers' Equity	(10,257)	(9,657)

NHS
Southend
Clinical Commissioning Group

Appendix 5: Year to date and forecast Cash-flow Position

Cash Analysis	April	May	June	July	August	September	October	November	December	January	February	March	TOTAL
	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s
Total spend (as per plan)	20,189	20,049	19,990	20,027	20,029	20,037	19,794	19,799	19,805	19,841	19,840	22,487	241,887
Allocations in year	(496)		100	(101)	632		42						177
Cash Resource Limit as at M7													242,064
Less non-cash items													
Depreciation													24
Prescribing top-slice													27,271
Other													-
Total cash requirements	17,495	17,674	17,680	17,684	18,300	17,842	17,535	17,556	17,562	17,598	17,597	20,245	214,770
Receipts													
CCG cash drawdown													214,222
Receipts from debtors													9,622
VAT													107
Other receipts													570
Total receipts	18,808	20,810	13,765	22,050	19,079	18,412	18,824	19,265	17,774	18,690	18,455	18,590	224,520
Payments													
NHS creditors													168,937
Non-NHS trade creditors													17,414
Salary													1,930
Pension													603
Tax & NI													1,029
Other payments													34,635
Total payments	18,732	20,751	13,837	21,920	19,152	18,429	18,916	19,154	17,789	18,689	18,389	18,789	224,548
Net cash movements in the period	76	59	(73)	130	(73)	(17)	(93)	111	(15)	0	66	(200)	(28)
Opening Bank & Cash balance		151	211	138	268	195	178	85	196	181	181	247	75
Add receipts	18,808	20,810	13,765	22,050	19,079	18,412	18,824	19,265	17,774	18,690	18,455	18,590	224,520
Less payments	18,732	20,751	13,837	21,920	19,152	18,429	18,916	19,154	17,789	18,689	18,389	18,789	224,548
Closing Bank & Cash balance	151	211	138	268	195	178	85	196	181	181	247	48	48

Appendix 6: Debtor and Creditor analysis as at 30th September 2016.

<u>Aged Debtors and Creditors</u>	Total					
	Amount	Current	1-30 days	31-60 days	61-90 days	over 90 days
	£s	£s	£s	£s	£s	£s
Aged Debtors						
NHS Trusts	247,512	224,412	(775)	0	8,375	15,500
NHS CSU	0	0	0	0	0	0
NHS CCGs	687,250	201,569	0	254,055	0	231,626
NHS - NHS ENGLAND	83,998	82,998	0	0	1,000	0
Non-NHS	22,094	21,088	(7,992)	0	0	8,998
Other	545,976	66,016	491,388	(9,979)	(1,114)	(335)
Total Debtors	1,586,829	596,083	482,621	244,076	8,261	255,789
Aged Creditors						
NHS Trusts	444,693	380,894	171	75,502	(6,238)	(5,637)
NHS CSU	157,000	0	0	0	0	157,000
NHS CCGs	0	0	0	0	0	0
NHS - NHS ENGLAND	0	0	0	0	0	0
Non-NHS	306,691	120,571	138,882	9,123	51,015	(12,900)
Other	1,124	0	0	0	0	1,124
Total Creditors	909,507	501,465	139,053	84,625	44,777	139,587

Note 1: CPR CCG are disputing an invoice for £217k in respect of Transforming Care CHC Placement Costs, which is now over 90 days due.

Note 2: The October 2016 Section 75 invoice to Southend Council is outstanding.

Note 3: The CSU is trying to clear aged credit notes.

Note 4: Arden & GEM invoice for £157k is in dispute.

Appendix 7: Better Payment Practice Code analysis as at 30th September 2016.

Better Payment Practice Code (BPPC) - Year to Date Performance	<u>Number</u> <u>of invoices</u>	<u>Value</u> <u>£000s</u>
Non-NHSSuppliers		
Total non-NHS trade invoices paid in the year	7,488	34,442
Total non-NHS trade invoices paid within target	7,317	31,842
Percentage of non-NHS trade invoices paid within target	97.72%	92.45%
NHSSuppliers		
Total NHS invoices paid in the year	1,558	97,848
Total NHS invoices paid within target	1,454	96,580
Percentage of NHS invoices paid within target	93.32%	98.70%
All Suppliers		
Total invoices paid in the year	9,046	132,290
Total invoices paid within target	8,771	128,422
Percentage of NHS invoices paid within target	96.96%	97.08%